



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

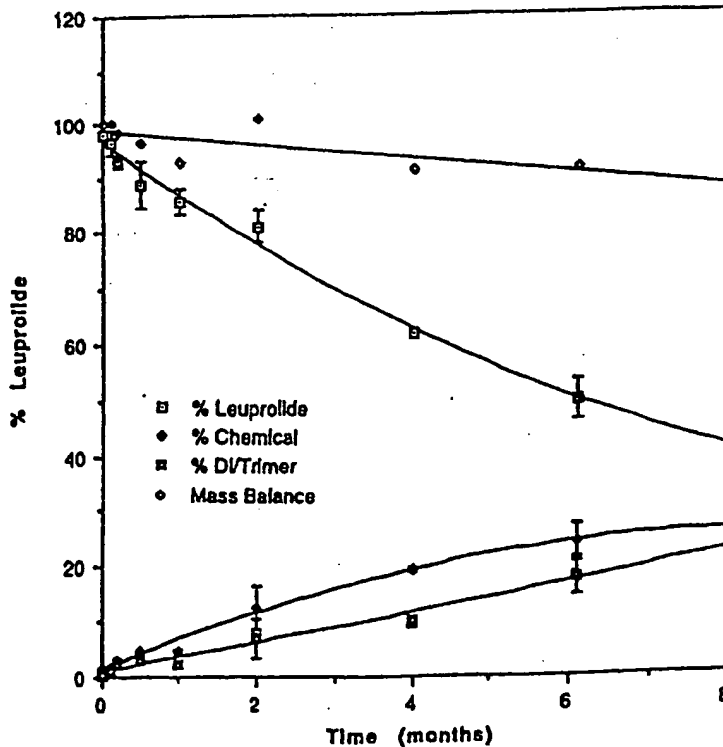
<p>(51) International Patent Classification <sup>6</sup> : A61K 38/04, 38/08, 38/09, 38/24, 47/08, 47/16, 47/18, 47/20</p>	<p>A1</p>	<p>(11) International Publication Number: WO 98/00158 (43) International Publication Date: 8 January 1998 (08.01.98)</p>
<p>(21) International Application Number: PCT/US97/11450 (22) International Filing Date: 1 July 1997 (01.07.97) (30) Priority Data: 60/022,699 3 July 1996 (03.07.96) US (71) Applicant (for all designated States except US): ALZA CORPORATION [US/US]; 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): STEVENSON, Cynthia, L. [US/US]; 100 West El Camino Real #48, Mountain View, CA 94040 (US). PRESTRELSKI, Steven, J. [US/US]; 1971 West Middlefield Road #5, Mountain View, CA 94043 (US). (74) Agents: DHUEY, John, A. et al.; Alza Corporation, 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	

BEST AVAILABLE COPY

(54) Title: NON-AQUEOUS POLAR APROTIC PEPTIDE FORMULATIONS

(57) Abstract

This invention relates to stable non-aqueous polar aprotic formulations of peptide compounds. These stable formulations comprise peptide in non-aqueous polar aprotic solvent. They may be stored at elevated temperatures for long periods of time and are especially useful in implantable delivery devices for long term delivery of drug.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakistan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

1  
2 **NON-AQUEOUS POLAR APROTIC PEPTIDE FORMULATIONS**

3  
4 **CROSS-REFERENCE TO RELATED APPLICATIONS**

5 This application claims priority under 35 U.S.C. 119(e) to U.S.  
6 Application Serial No. 60/022,699 filed July 3, 1996, the disclosure of which is  
7 incorporated herein by reference.

8  
9 **FIELD OF THE INVENTION**

10 This invention relates to stable non-aqueous polar aprotic formulations  
11 of peptide compounds and more particularly to formulations of peptide  
12 compounds at high concentrations.

13  
14 **BACKGROUND OF THE INVENTION**

15 **References:**

16 The following references are referred to by numbers in brackets ([ ]) at  
17 the relevant portion of the specification.

- 18 1. Zoladex (goserelin acetate implant), Physician's Desk Reference, 50th  
19 Edition, pages 2858-2861 (1996).
- 20 2. U.S. Patent No. 3,914,412, issued October 21, 1975.
- 21 3. U.S. Patent No. 4,547,370, issued October 15, 1985.
- 22 4. U.S. Patent No. 4,661,472, issued April 28, 1987.
- 23 5. U.S. Patent No. 4,689,396, issued August 25, 1987.
- 24 6. U.S. Patent No. 4,851,385, issued July 25, 1989.
- 25 7. U.S. Patent No. 5,198,533, issued March 30, 1993.
- 26 8. U.S. Patent No. 5,480,868, issued January 2, 1996.
- 27 9. WO92/20711, published 26 November 1992.
- 28 10. WO95/00168, published 5 January 1995.
- 29 11. WO95/04540, published 16 February 1995.
- 30 12. "Stability of Gonadorelin and Triptorelin in Aqueous Solution", V.J.  
31 Helm, B.W. Muller, *Pharmaceutical Research*, 7/12, pages 1253-1256  
32 (1990).

- 1 13. "New Degradation Product of Des-Gly<sup>10</sup>-NH<sub>2</sub>-LH-RH-Ethylamide  
2 (Fertirelin) in Aqueous Solution", J. Okada, T. Seo, F. Kasahara, K.  
3 Takeda, S. Kondo, *J. of Pharmaceutical Sciences*, 80/2, pages 167-  
4 170 (1991).
- 5 14. "Characterization of the Solution Degradation Product of Histrelin, a  
6 Gonadotropin Releasing Hormone (LHRH) Agonist", A.R. Oyler, R.E.  
7 Naldi, J.R. Lloyd, D.A. Graden, C.J. Shaw, M.L. Cotter, *J. of*  
8 *Pharmaceutical Sciences*, 80/3, pages 271-275 (1991).
- 9 15. "Parenteral Peptide Formulations: Chemical and Physical Properties of  
10 Native Luteinizing Hormone-Releasing Hormone (LHRH) and  
11 Hydrophobic Analogues in Aqueous Solution", M.F. Powell, L.M.  
12 Sanders, A. Rogerson, V. Si, *Pharmaceutical Research*, 8/10, pages  
13 1258-1263 (1991).
- 14 16. "Degradation of the LHRH Analog Nafarelin Acetate in Aqueous  
15 Solution", D.M. Johnson, R.A. Pritchard, W.F. Taylor, D. Conley, G.  
16 Zuniga, K.G. McGreevy, *Intl. J. of Pharmaceutics*, 31, pages 125-129  
17 (1986).
- 18 17. "Percutaneous Absorption Enhancement of Leuprolide", M.Y. Fu Lu, D.  
19 Lee, G.S. Rao, *Pharmaceutical Research*, 9/12, pages 1575-1576  
20 (1992).
- 21 18. Lutrepulse (gonadorelin acetate for IV injection), Physician's Desk  
22 Reference, 50th Edition, pages 980-982 (1996).
- 23 19. Factrel (gonadorelin HCl for subcutaneous or IV injection), Physician's  
24 Desk Reference, 50th Edition, pages 2877-2878 (1996).
- 25 20. Lupron (leuprolide acetate for subcutaneous injection), Physician's  
26 Desk Reference, 50th Edition, pages 2555-2556 (1996).
- 27 21. Lupron depot (leuprolide acetate for depot suspension), Physician's  
28 Desk Reference, 50th Edition, pages 2556-2562 (1996).
- 29 22. "Pharmaceutical Manipulation of Leuprorelin Acetate to Improve  
30 Clinical Performance", H. Toguchi, *J. of Intl. Medical Research*, 18,  
31 pages 35-41 (1990).

- 1 23. "Long-Term Stability of Aqueous Solutions of Luteinizing Hormone-  
2 Releasing Hormone Assessed by an In-Vitro Bioassay and Liquid  
3 Chromatography", Y.F. Shi, R. J. Sherins, D. Brightwell, J.F. Gallelli, D.  
4 C. Chatterji, *J. of Pharmaceutical Sciences*, 73/6, pages 819-821  
5 (1984).
- 6 24. "Peptide Liquid Crystals: Inverse Correlation of Kinetic Formation and  
7 Thermodynamic Stability in Aqueous Solution", M.F. Powell, J.  
8 Fleitman, L.M. Sanders, V.C. Si, *Pharmaceutical Research*, 11/9,  
9 pages 1352-1354 (1994).
- 10 25. "Solution Behavior of Leuprolide Acetate, an LHRH Agonist, as  
11 Determined by Circular Dichroism Spectroscopy", M.E. Powers, A.  
12 Adejei, M.Y. Fu Lu, M.C. Manning, *Intl. J. of Pharmaceutics*, 108,  
13 pages 49-55 (1994).
- 14 26. "Preparation of Three-Month Depot Injectable Microspheres of  
15 Leuprorelin Acetate Using Biodegradable Polymers", *Pharmaceutical  
16 Research*, 11/8, pages 1143-1147 (1994).

17 The disclosure of each of the above publications, patents or patent  
18 applications is hereby incorporated by reference in its entirety to the same  
19 extent as if the language of each individual publication, patent and patent  
20 application were specifically and individually incorporated by reference.

21 Luteinizing hormone-releasing hormone (LHRH), also known as  
22 gonadotropin releasing hormone (GnRH), is a decapeptide with the structure:  
23 pGlu-His-Trp-Ser-Tyr-Gly-Leu-Arg-Pro-Gly-NH<sub>2</sub>.

24 It is secreted by the hypothalamus and binds to receptors on the pituitary  
25 gland, releasing luteinizing hormone (LH) and follicle stimulating hormone  
26 (FSH). LH and FSH stimulate the gonads to synthesize steroid hormones.  
27 Numerous analogs of LHRH are known, including peptides related to LHRH  
28 which act as agonists and those which act as antagonists. [1-15] LHRH  
29 analogs are known to be useful for treating hormone-dependent diseases  
30 such as prostate cancer, benign prostatomegaly, endometriosis,  
31 hysteromyoma, metrofibroma, precocious puberty, or mammary cancer and  
32 as contraceptives. [8] Sustained release administration is preferred for both

1 agonist LHRH-related compounds, which reduce the number of available  
2 receptors after repeated administration so that the production of steroid  
3 hormones is suppressed, and antagonist LHRH-related compounds, which  
4 must be continually administered for persistent inhibition of endogenous  
5 LHRH. [8]

6 The sustained parenteral delivery of drugs, especially peptide drugs,  
7 provides many advantages. The use of implantable devices for sustained  
8 delivery of a wide variety of drugs or other beneficial agents is well known in  
9 the art. Typical devices are described, for example, in U.S. Patents Nos.  
10 5,034,229; 5,057,318; and 5,110,596. The disclosure of each of these  
11 patents is incorporated herein by reference.

12 In general, oral bioavailability of peptides, including LHRH-related  
13 compounds, is low. [16-17]

14 Currently marketed formulations of LHRH, its analogs and related  
15 compounds which are used for parenteral injection are aqueous solutions  
16 which contain relatively low concentrations of LHRH-related compounds (0.05  
17 to 5 mg/ml) and may also contain excipients such as mannitol or lactose. [18-  
18 20] Such formulations of LHRH-related compounds must either be stored  
19 refrigerated or may be stored at room temperature for short periods of time.

20 Available depot formulations of LHRH-related compounds  
21 administered for sustained release over a period of 1-3 months include a  
22 formulation comprised of 15% LHRH-related compound dispersed in a matrix  
23 of D,L-lactic and glycolic acids copolymer presented as a cylinder to be  
24 injected subcutaneously [1] and a formulation comprised of microparticles  
25 comprising a core of LHRH-related compound and gelatin surrounded by a  
26 shell of D,L-lactic and glycolic acids copolymer. These microparticles are  
27 suspended in a diluent for injection either subcutaneously or intramuscularly.  
28 [21, 26] These products must be stored at room temperature or lower.  
29 Aqueous formulations of LHRH-related compounds are known to exhibit both  
30 chemical and physical instability, as well as degradation after irradiation. [12-  
31 16, 22-25]

1           Formulations which have been shown to be stable ( $t_{90}$  about five years)  
2 have been very low concentration (25  $\mu\text{g/ml}$ ) aqueous, buffered (10 mM, ionic  
3 strength of 0.15) solutions stored at temperatures no higher than room  
4 temperature (25°C). [15]

5           There is a need for stable formulations of peptides.

6

7

### SUMMARY OF THE INVENTION

8           The present invention provides stable non-aqueous formulations which  
9 are solutions of peptide compounds in polar aprotic solvents. In particular,  
10 the peptide compounds are formulated at concentrations of at least about  
11 10%. These stable formulations may be stored at elevated temperatures  
12 (e.g., 37°C) for long periods of time and are especially useful in implantable  
13 delivery devices for long term delivery (e.g., 1-12 months or longer) of drug.

14           In one aspect, the invention provides stable non-aqueous formulations  
15 of peptide compounds, said formulations comprising at least one peptide  
16 compound in at least one polar aprotic solvent. In a preferred embodiment,  
17 the formulation comprises at least about 10% (w/w) peptide compound.

18           In another aspect, the invention provides methods for preparing a  
19 stable non-aqueous formulation of a peptide compound, said methods  
20 comprising dissolving at least one peptide compound in at least one polar  
21 aprotic solvent. Preferred formulations comprise at least about 10% (w/w)  
22 peptide compound.

23           In yet a further aspect, the invention provides methods for treating a  
24 subject suffering from a condition which may be alleviated by administration  
25 of a peptide compound, said methods comprising administering to said  
26 subject an effective amount of a stable non-aqueous formulation comprising  
27 at least one peptide compound in at least one polar aprotic solvent.

28

### BRIEF DESCRIPTION OF THE DRAWINGS

1  
2 Figure 1 illustrates the stability of 40% leuprolide acetate solution (w/w)  
3 in dimethylsulfoxide (methylsulfoxide or DMSO) after two months at 80°C as  
4 measured by reverse phase HPLC (RP-HPLC).

5 Figure 2 shows the same sample as Figure 1 injected by size exclusion  
6 chromatography (SEC). This figure shows that there is very little aggregation,  
7 and what aggregation there is is comprised of dimer and trimer products, with  
8 no higher order aggregation.

9 Figure 3 presents the Arrhenius plot showing the loss of leuprolide  
10 from 40% solutions of leuprolide acetate in dimethylsulfoxide (DMSO).

11 Figure 4 illustrates the chemical and physical stability of a 40%  
12 leuprolide solution in DMSO after six months at 80°C.

13 Figure 5 illustrates the loss of leuprolide from a 40% leuprolide acetate  
14 solution in DMSO over a period of six months at 37°C, 50°C, 65°C or 80°C.

15 Figure 6 illustrates the chemical stability of a 40% leuprolide acetate  
16 solution in DMSO over a period of nine months at 37°C.

17 Figure 7 illustrates that increasing the concentration of the peptide  
18 leuprolide in DMSO solution increased stability at 80°C.

19 Figure 8 illustrates that increasing the moisture content of 40%  
20 leuprolide-DMSO formulations resulted in decreased stability at 80°C.

21 Figure 9 illustrates that, in the formulations shown in Figure 8,  
22 chemical degradation products increased with increasing moisture.

### DETAILED DESCRIPTION OF THE INVENTION

23  
24  
25 The present invention is drawn to the unexpected discovery that  
26 dissolving peptide compounds in non-aqueous polar aprotic solvents results  
27 in stable formulations. Previously known formulations of peptide compounds,  
28 which are dilute buffered aqueous solutions containing excipients such as  
29 EDTA or ascorbic acid which must be stored at low temperatures (4-25°C),  
30 form degradation products using degradation pathways such as acid/base  
31 catalyzed hydrolysis, deamidation, racemization and oxidation. In contrast,  
32 the presently claimed formulations stabilize peptide compounds at elevated



1 temperatures (e.g., 37°C to 80°C) and at high concentrations (i.e., at least  
2 about 10%).

3 Standard peptide and protein formulations consist of dilute aqueous  
4 solutions. Drug stability is usually achieved by varying one or more of the  
5 following: pH, buffer type, ionic strength, excipients (EDTA, ascorbic acid,  
6 etc). For these formulations, degradation pathways requiring water  
7 (hydrolysis, deamidation, racemization) cannot be fully stabilized. In contrast,  
8 in the present invention, peptides formulated in non-aqueous solutions, such  
9 as dimethyl sulfoxide (DMSO) and dimethyl formamide (DMF), were shown to  
10 be chemically and physically more stable than those formulated in water.  
11 DMSO and DMF are considered polar aprotic solvents. Aprotic solvents  
12 would be expected to decrease the rate of degradation since they lack the  
13 ability to contribute protons to degradation reactions. Conversely, solvents  
14 that are more polar than water (for example, the dipole moment of water is  
15 1.85, for DMF is 3.82, and for DMSO is 3.96) would be expected to increase  
16 the rate of degradation since they can assist in stabilizing the rate determining  
17 step and increasing the rate of degradation. However, we discovered that the  
18 overall effect of polar aprotic solvents was generally to stabilize solutions of  
19 peptides.

20 The invention consists of using non-aqueous, aprotic solvents such as  
21 DMSO or DMF to stabilize peptide formulations against both chemical and  
22 physical degradation. The discovery consists of the realization that use of  
23 DMSO or DMF improves the overall stability of peptides in a wide range of  
24 formulation conditions, including high concentrations and elevated  
25 temperatures, thus making possible the delivery of peptides in long term  
26 implantable devices that would not otherwise be feasible.

27

28 A. Definitions:

29 As used herein, the following terms have the following meanings:

30 The term "chemical stability" means that an acceptable percentage of  
31 degradation products produced by chemical pathways such as oxidation or  
32 hydrolysis is formed. In particular, a formulation is considered chemically

1 stable if no more than about 20% breakdown products are formed after two  
2 months at 37°C.

3 The term "physical stability" means that an acceptable percentage of  
4 aggregates (e.g., dimers, trimers and larger forms) is formed. In particular, a  
5 formulation is considered physically stable if no more than about 15%  
6 aggregates are formed after two months at 37°C.

7 The term "stable formulation" means that at least about 65%  
8 chemically and physically stable peptide compound remains after two months  
9 at 37°C (or equivalent conditions at an elevated temperature). Particularly  
10 preferred formulations are those which retain at least about 80% chemically  
11 and physically stable peptide under these conditions. Especially preferred  
12 stable formulations are those which do not exhibit degradation after sterilizing  
13 irradiation (e.g., gamma, beta or electron beam).

14 The terms "peptide" and/or "peptide compound" mean polymers of up  
15 to about 50 amino acid residues bound together by amide (CONH) linkages.  
16 Analogs, derivatives, agonists, antagonists and pharmaceutically acceptable  
17 salts of any of these are included in these terms. The terms also include  
18 peptides and/or peptide compounds which have D-amino acids, modified,  
19 derivatized or non-naturally occurring amino acids in the D- or L- configuration  
20 and/or peptomimetic units as part of their structure.

21 The term "LHRH-related compound" means luteinizing hormone  
22 releasing hormone (LHRH) and its analogs and pharmaceutically acceptable  
23 salts. Octa-, nona- and decapeptide LHRH agonists and antagonists are  
24 included in the term LHRH-related compounds, as is native LHRH.  
25 Particularly preferred LHRH-related compounds include LHRH, leuprolide,  
26 goserelin, nafarelin, and other known active agonists and antagonists. [1-21]

27 The term "high concentration" means at least about 10% (w/w) and up  
28 to the maximum solubility of the particular peptide.

29 The term "excipient" means a more or less inert substance in a  
30 formulation which is added as a diluent or vehicle or to give form or  
31 consistency. Excipients are distinguished from solvents such as EtOH, which  
32 are used to dissolve drugs in formulations, and from non-ionic surfactants

1 such as Tween 20, which are used to solubilize drugs in formulations, and  
2 from preservatives such as benzyl alcohols or methyl or propyl parabens,  
3 which are used to prevent or inhibit microbial growth.

4 The term "polar aprotic solvent" means a polar solvent which does not  
5 contain acidic hydrogen and does not act as a hydrogen bond donor.

6 Examples of polar aprotic solvents are dimethylsulfoxide (DMSO),  
7 dimethylformamide (DMF), hexamethylphosphorotriamide (HMPT), and n-  
8 methyl pyrrolidone.

9 The term "non-aqueous protic solvent" means a non-polar solvent  
10 which contains hydrogen attached to oxygen or nitrogen so that it is able to  
11 form hydrogen bonds or donate a proton. Examples of apolar protic solvents  
12 are polyethylene glycols (PEGs), propylene glycol (PG), polyvinylpyrrolidone  
13 (PVP), methoxypropylene glycol (MPEG), glycerol and glycofurof.

14

#### 15 B. Preparation of Formulations:

16 The present invention is drawn to non-aqueous formulations of  
17 peptide compounds in polar aprotic solvent which are stable for prolonged  
18 periods of time at elevated temperatures. Standard dilute aqueous peptide  
19 and protein formulations require manipulation of buffer type, ionic strength,  
20 pH and excipients (e.g., EDTA and ascorbic acid) to achieve stability. In  
21 contrast, the claimed formulations achieve stabilization of peptide compounds  
22 by the use of non-aqueous polar aprotic solvents. In particular, stability of  
23 high concentrations (at least about 10%, w/w) of compound has been  
24 provided by the formulations of the present invention.

25 Examples of peptides and peptide compounds which may be  
26 formulated using the present invention include those peptides which have  
27 biological activity or which may be used to treat a disease or other  
28 pathological condition. They include, but are not limited to  
29 adrenocorticotrophic hormone, angiotensin I and II, atrial natriuretic peptide,  
30 bombesin, bradykinin, calcitonin, cerebellin, dynorphin A, alpha and beta  
31 endorphin, endothelin, enkephalin, epidermal growth factor, fertirelin, follicular  
32 gonadotropin releasing peptide, galanin, glucagon, gonadorelin,

1 gonadotropin, goserelin, growth hormone releasing peptide, histrelin, insulin,  
2 leuprolide, LHRH, motilin, nafarelin, neurotensin, oxytocin, somatostatin,  
3 substance P, tumor necrosis factor, triptorelin, and vasopressin. Analogs,  
4 derivatives, antagonists, agonists and pharmaceutically acceptable salts of  
5 the above may also be used.

6 The peptide compounds useful in the formulations and methods of the  
7 present invention can be used in the form of a salt, preferably a  
8 pharmaceutically acceptable salt. Useful salts are known to those of skill in  
9 the art and include salts with inorganic acids, organic acids, inorganic bases  
10 or organic bases. Preferred salts are acetate salts.

11 Peptides and peptide compounds which are readily soluble in non-  
12 aqueous polar aprotic solvents are preferred for use in the present invention.  
13 One of skill in the art can easily determine which compounds will be useful on  
14 the basis of their solubility, i.e., the compound must be soluble in the  
15 particular non-aqueous polar aprotic solvent to at least an acceptable  
16 amount. Preferred solubilities are at least about 10% (w/w). Particularly  
17 preferred peptide compounds are LHRH-related compounds, including  
18 leuprolide and leuprolide acetate.

19 The proportion of peptide may vary depending on the compound, the  
20 condition to be treated, the solubility of the compound, the expected dose and  
21 the duration of administration. (See, for example, The Pharmacological Basis  
22 of Therapeutics, Gilman et al., 7th ed. (1985) and Pharmaceutical Sciences,  
23 Remington, 18th ed. (1990), the disclosures of which are incorporated herein  
24 by reference.) The concentration of peptide in high concentration  
25 formulations may range from at least about 10% (w/w) to the maximum  
26 solubility of the compound. A preferred range is from about 20 to about 60%  
27 (w/w). The currently more preferred range is from about 30 to about 50%  
28 (w/w) and a most preferred range is about 35 to about 45% (w/w).

29 It has unexpectedly been found that increasing the concentration of  
30 peptide that is dissolved in the non-aqueous polar aprotic solvent may  
31 increase the stability of the peptide formulation. For example, as seen in  
32 Figure 7, when solutions of 5, 10, 20 and 40% leuprolide in DMSO were

1 stored for 8 weeks at 80°C with samples taken periodically and analyzed to  
2 determine the percentage of leuprolide remaining, formulations containing  
3 higher concentrations of leuprolide were more stable than formulations with  
4 lower concentrations of leuprolide.

5 Generally, the stable formulations of the present invention may be  
6 prepared by simply dissolving the desired amount, which may be a  
7 therapeutically effective amount, of the desired peptide compound in the  
8 selected non-aqueous polar aprotic solvent. Preferred polar aprotic solvents  
9 include DMSO and DMF.

10 Increasing the water contained in the peptide formulations of the  
11 present invention increased peptide degradation as shown in Figure 8. It  
12 appears that this increase may be due mainly to increasing chemical  
13 degradation products, with aggregation remaining relatively constant  
14 (Figure 9).

15 It has also been found that non-aqueous protic solvents such as PEG,  
16 PG and PVP may optionally be added to the claimed formulations.

17

18 C. Methodology:

19 We have found that stable non-aqueous formulations of peptide  
20 compounds may be prepared by dissolving the peptide compound to be  
21 formulated in non-aqueous polar aprotic solvents.

22 We have tested these peptide compound formulations, specifically  
23 formulations of the LHRH-related compound leuprolide, for stability by  
24 subjecting them to accelerated aging at elevated temperature and measuring  
25 the chemical and physical stability of the formulations. Results of these  
26 studies (shown, for example, in Table II and Figures 1, 2, 4 and 6)  
27 demonstrate that these formulations were stable at conditions that  
28 approximate or exceed storage for one year at 37°C.

29 We have also tested peptide compound formulations prepared as  
30 described herein for stability after 2.5 megarad gamma irradiation. Results,  
31 shown in Table III, show that these formulations remained chemically and  
32 physically stable after such irradiation.

1       As shown in Table I, we have tested a wide variety of peptide  
2 formulations, specifically leuprolide, goserelin, LHRH, angiotensin I,  
3 bradykinin, calcitonin, enkephalin, insulin, neurotensin, substance P,  
4 trypsinogen and vasopressin, for stability by dissolving (or attempting to  
5 dissolve) them in the non-aqueous polar aprotic solvent DMSO, then  
6 subjecting them to accelerated aging at elevated temperatures. The stability  
7 of the formulations was measured. Results are presented in Table I as half-  
8 life at 37°C assuming an  $E_a = 22.2$  kcal/mole. A wide range of the peptides  
9 tested were soluble in DMSO and remained stable under the test conditions.  
10 The solubility of a particular peptide in a particular non-aqueous polar aprotic  
11 solvent and the stability of the resulting solution are easily determined using  
12 routine procedures known to those of ordinary skill in the art.

13

1 **Table I: Stability of Peptides Formulated in DMSO**

2

FORMULATION	HALF-LIFE* (Temperature)
40% Leuprolide	29.8 years (37°C)
40% Goserelin	5.0 years (80°C)
20% LHRH	8.2 years (65°C)
20% Angiotensin I	4.2 years (65°C)
5% Angiotensin I	4.1 months (50°C)
20% Bradykinin	2.9 months (65°C)
40% Calcitonin	insoluble (80°C)
20% Calcitonin	2.4 months (80°C)
5% Calcitonin	100% stability at 2 months (50°C)
10% Enkephalin	1.9 months (80°C)
5% Enkephalin	2.6 months (50°C)
20% Insulin	insoluble gel (65°C)
5% Neurotensin	5.0 months (50°C)
5% Substance P	3.0 months (50°C)
40% Trypsinogen	insoluble crystal/gel (65°C/80°C)
20% Trypsinogen	insoluble gel (65°C)
5% Trypsinogen	5.9 months (50°C)
40% Vasopressin	degraded (80°C)
20% Vasopressin	11.8 days (65°C)
*Half-life at 37°C assuming $E_a = 22.2$ kcal/mole.	

3

4 Formulations of 40% peptide in DMSO stored for six months at 37°C,

5 50°C, 65°C and 80°C showed non-linear Arrhenius kinetics as measured by

6 overall loss of peptide from the solution, showing stability of these

7 formulations at elevated temperatures. Analysis of data collected at 37°C

8 gave a  $t_{90}$  of 14.4 months, indicating that stability at 37°C is still very good.

1           Temperature appears to affect both the rate of degradation and the  
2 ratio of the degradation products of the formulations of the present invention.  
3 Studies of leuprolide-DMSO formulations have shown that at 65°C and 80°C  
4 oxidation appears to be the major chemical degradation pathway.  
5 Conversely, at 37°C and 50°C hydrolysis and isomerization appear to be the  
6 predominant degradation routes for these formulations.

7           We have also unexpectedly found that certain peptide formulations of  
8 the present invention are bacteriostatic (i.e., inhibit bacterial growth),  
9 bactericidal (i.e., cause the death of bacteria), and sporicidal (i.e., kill spores).  
10 In particular, leuprolide formulations of 50-400 mg/ml exhibited bacteriostatic,  
11 bactericidal and sporicidal activity. The stability of the samples was  
12 unaffected by spiking with bacteria, indicating that the enzymes released from  
13 the killed and lysed bacteria did not adversely affect the stability of the  
14 product. This demonstrates that these formulations were not conducive to  
15 enzymatic activity.

16           Some peptides, for example calcitonin and leuprolide, are known to be  
17 physically unstable, exhibiting aggregation, gelation and fibrillation when  
18 formulated in aqueous solution. Improving physical stability can increase  
19 bioavailability, alleviate sensitization and immune response, and allow for  
20 easier parenteral administration, including administration using implantable  
21 drug delivery systems.

22           It has unexpectedly been found that certain peptides, such as  
23 leuprolide, goserelin and calcitonin, formulated in the non-aqueous polar  
24 aprotic solvents of the present invention do not gel. No gelation was found  
25 even after 12 months at 37°C. This is apparently because non-aqueous polar  
26 aprotic solvents cause peptides to form a random coil/alpha helix  
27 conformation that does not refold into a beta sheet structure and, therefore,  
28 does not gel. Thus, these solvents have an anti-gellant effect.

29           A major aspect of the invention is that non-aqueous solutions  
30 containing peptide compounds in polar aprotic solvents are chemically and  
31 physically stable at high temperatures for long periods of time. Such  
32 formulations are stable even when high concentrations are used. Thus, these



1 formulations are advantageous in that they may be shipped and stored at  
2 temperatures at or above room temperature for long periods of time. They  
3 are also suitable for use in implantable delivery devices.

#### 4 5 DISCLOSURE OF EXAMPLES OF THE INVENTION

6 The following methods were used to perform the studies in the  
7 Examples that follow.

##### 8 9 1. Preparing leuprolide acetate solutions

10 Leuprolide acetate (obtained, for example, from Mallinckrodt, St. Louis,  
11 Missouri) was weighed and dissolved with stirring or centrifugation in vehicle  
12 (DMSO, DMF, DMSO/PEG, DMSO/PG, or DMSO/PVP) at the appropriate  
13 concentration. The term dry DMSO refers to DMSO formulations prepared in  
14 a low moisture environment (i.e., dry N<sub>2</sub> atmosphere).

15 Unless otherwise noted, leuprolide free base content was calculated  
16 from certificate of analysis potency values to be 37°C free base. This was  
17 40% leuprolide acetate, except as noted.

##### 18 19 2. Preparation of reservoirs

20 The reservoirs of implantable drug delivery devices (as disclosed in  
21 U.S. Patent Application Serial No. 08/595,761, incorporated herein by  
22 reference) were filled with the appropriate leuprolide acetate solution. The  
23 formulation was filled into titanium or polymer reservoirs with a polymer plug  
24 blocking each end. The filled reservoir was then sealed in a polyfoil bag and  
25 placed in a stability testing oven.

26 It should be noted that the formulations in the reservoirs of these  
27 devices are completely isolated from the outside environment.

1           3. Reverse Phase-HPLC (RP-HPLC)

2           All stability samples were analyzed for leuprolide concentration and %  
3 peak area using a gradient elution reversed-phase HPLC assay with a  
4 refrigerated autosampler (4°C) to minimize sample degradation. The  
5 chromatographic conditions used are listed below.

6  
7                           **RP-HPLC Chromatographic Conditions**

Description	Parameter									
Column	HaiSil C18, 4.6 X 250mm, S/N 5103051									
Flow Rate	0.8 mL min <sup>-1</sup>									
Injection Volume	20 µL									
Detection	210 nm									
Leuprolide Retention Time	Between 25-30 minutes									
Mobile Phase	A = 100 mM Sodium Phosphate, pH 3.0 B = 90% Acetonitrile/Water									
Gradient	Minutes	0	5	25	40	41	46	46.1	50	
	%B	15	26.5	26.5	65	85	85	15	15	

8  
9           Leuprolide standards (in water) at 4 to 6 different concentration levels,  
10 typically between 0.1 - 1.2 mg/mL, were run along with the stability samples.  
11 The stability samples were bracketed by the standard sets, with no more than  
12 40 samples in between the standard sets. All peaks between the void volume  
13 and 45 minutes of the run were integrated. The integrated peak areas for the  
14 leuprolide standards were plotted as a function of the concentration. The  
15 leuprolide concentrations for the stability samples were then calculated using  
16 linear regression. The % peak areas for the leuprolide peak, the sum of all  
17 the peaks eluting before leuprolide (labeled "others"), and the sum of all the  
18 peaks eluting after leuprolide (labeled "aggregates") were also recorded and  
19 plotted as a function of the sample timepoints.

#### 4. Size Exclusion Chromatography (SEC)

Selected stability samples were analyzed for % peak area and molecular weights using an isocratic solution SEC assay with a refrigerated autosampler (4°C). The chromatographic conditions used are listed below.

#### SEC Chromatographic Conditions

Description	Parameter
Column	Pharmacia Peptide, HR 10/30, 10 X 300 mm
Flow Rate	0.5 mL min <sup>-1</sup>
Injection Volume	20 µL
Detection	210 nm
Leuprolide Retention Time	Approximately 25 minutes
Mobile Phase	100 mM Ammonium Phosphate, pH 2.0, 200 mM Sodium Chloride, 30% Acetonitrile

The void volume and total volume for the size exclusion column was needed for the calculation of the molecular weights. The BioRad high molecular weight standard and 0.1% acetone were used to determine the void volume and total volume respectively. The retention times for the first peak in the BioRad standard and the acetone peak were recorded and converted to volume units using the equations below. Since these values are constant for a particular SEC column and HPLC system, the void and total volumes were redetermined whenever changes to the SEC column or HPLC system were made. A standard run was then made followed by the stability samples. The standard mixture contained approximately 0.2 mg/mL of the following peptides: Bursin (MW=449), WLFR peptide (MW=619), Angiotensin (MW=1181), GRF (MW=5108), and Cytochrome C (MW=12394). These standards were chosen because they bracketed leuprolide molecular weight and all had basic pI (9.8 - 11.0), similar to leuprolide.

1 The % peak areas were recorded for all the peaks. The molecular  
2 weights for the species separated were calculated using the equations below.

3  $V_s = \text{flow rate (mL/min)} \times \text{sample peak retention time (min)}$

4  $V_o = \text{flow rate (mL/min)} \times \text{void volume peak retention time (min)}$

5  $V_t = \text{flow rate (mL/min)} \times \text{total volume peak retention time (min)}$

6  
7 
$$K_d = \frac{V_s - V_o}{V_t - V_o}$$
  
8  
9

10 Where:

11  $V_s =$  standard or sample volume

12  $V_o =$  void volume

13  $V_t =$  total volume

14  
15  $V_s$  was calculated to each peptide standard peak.  $K_d$  for each peptide  
16 standard was then calculated using the values for  $V_t$  and  $V_o$  determined  
17 earlier. The linear regression line from the plot of  $\log MW$  vs.  $K_d^{-1}$  was used  
18 to determine the molecular weights for each peak in the stability sample. The  
19 % peak areas for the stability samples were also recorded.  
20

## 21 5. Instrumentation and Materials

22 The instrumentation and materials used for RP-HPLC and SEC were  
23 as follows:

24 Waters Millennium HPLC system consisting of 717 autosampler, 626 pump,  
25 6000S controller, 900 photodiode array detector, and 414 refractive  
26 index detector (Waters Chromatography, Milford, MA)

27 HPLC vials, for 48-position and 96-position (Waters Chromatography, Milford,  
28 MA)

29 HaiSil C18, 120 A, 5  $\mu$ m 4.6 x 250 mm HPLC column (Higgins Analytical,  
30 Mountain View, CA)

31 Pharmacia Peptide, HR 10/30 SEC column (Pharmacia Biotech, Piscataway,  
32 NJ)

1           The following examples are offered to illustrate this invention and are  
2 not meant to be construed in any way as limiting the scope of this invention.

3

4

#### EXAMPLE 1

5

##### Accelerated Stability Studies of Leuprolide Acetate Formulations

6

7           Formulations of 40% (w/w) leuprolide acetate (equivalent to about 37%  
8 leuprolide free base) in vehicle were prepared as described above and used  
9 to fill the reservoirs of implantable drug delivery devices, also as described  
10 above. All reservoirs were made of titanium.

11

12           The filled devices were subjected to accelerated aging by storing them  
13 at elevated temperatures (80°C) for seven days in an oven (Precision  
14 Scientific or Thelco). This is equivalent to about 1.5 years at 37°C or about  
15 four years at room temperature (25°C).

16

17           The samples were analyzed using RP-HPLC and SEC as described  
18 above to determine the chemical and physical stability of the aged  
19 formulations.

20

21           Results, presented in Table II, demonstrate that these formulations  
22 were able to maintain the stability of the LHRH-related compound leuprolide.  
23 In each case, at least 65% leuprolide was retained.

24

**Table II****Stability of Leuprolide Acetate Polar Aprotic Formulations After 7 Days  
at 80°C in Titanium Reservoirs**

Formulation	% Leuprolide at Day 7
40% in DMSO	92
40% in DMSO/PEG (50/50)	90
40% in DMSO/PG (50/50)	86
40% in DMSO/PVP (50/50)	93
40% in DMF	91
40% in dry DMSO	89

**EXAMPLE 2****Stability Studies of Irradiated Leuprolide Acetate Formulations**

Formulations of 40% (w/w) leuprolide acetate in DMSO were prepared as described above and used to fill the reservoirs of drug delivery devices, also as described above. All reservoirs were made of titanium.

The filled devices were sent to Sterigenics (Tustin, California) where they were subjected to 2.5 megarad gamma irradiation using Cobalt 60, 3-level "tote box" irradiation in Sterigenics' Tustin-Main Cell. In Table III, the samples labeled "cold" were shipped and irradiated on dry ice. Samples were then subjected to accelerated aging as in Example 1. Samples were taken at day 0 and day 7, and analyzed using RP-HPLC and SEC as described above to determine the chemical and physical stability of the irradiated formulations.

Results, presented in Table III, demonstrate that these leuprolide acetate formulations were stable after irradiation. In every case, at least 65% leuprolide was retained, with low levels of aggregate formation.

**Table III**  
**Stability of 40% (w/w) Leuprolide Acetate Polar Aprotic Formulations After 2.5 Megarad Gamma Irradiation in Titanium Reservoirs**

Formulation	Irradiation	% Leuprolide at Day 7 (RP-HPLC)	SEC					
			Day 0			Day 7		
			% monomer	% dimer/trimer	% monomer	% dimer/trimer	% monomer	% dimer/trimer
40% in DMSO	Yes	100	98.1	0.5	97.7	1.9		
40% in DMSO	No	90	100	0	98.5	1.1		
40% in DMSO	Cold	99	99.1	0.2	98.3	1.4		
40% in DMSO	Yes	95	99.1	0.8	95.3	2		
40% in DMSO	No	N.D.	100	0	106.1	0		
40% in DMSO	Yes	90	99.4	0.6	99.4	2.2		
40% in DMSO	No	100	100	0	104	1		
40% in DMSO	Yes	88	99.5	0.5	97.7	1.8		
40% in DMSO	Yes	83	99.5	0.5	91.7	1.5		

**EXAMPLE 3****Accelerated Long-Term Stability Studies of Leuprolide Acetate Formulations**

Solutions of 40 % leuprolide acetate (w/w) in DMSO were prepared, loaded into reservoirs, stored for two months at 80°C and analyzed as described above. Results, shown in Figures 1 (RP-HPLC) and 2 (SEC) show that 81.1% leuprolide was recovered, with only 14.6% chemical degradation and 5.1% physical aggregation.

Leuprolide acetate solutions were prepared, loaded, stored at 80°C for six months and analyzed as described above. Figure 4 is a plot of leuprolide, and its chemical and physical degradation products recovered over the six month time period, showing that we accounted for all the peptide material we started with and that these formulations showed good stability at 80°C. The sum of these three elements is also presented as mass balance. Figure 5 is a plot of the natural log of these data, showing that these formulations exhibited linear kinetics over the entire temperature range tested.

The chemical stability of 40% leuprolide acetate solutions prepared and analyzed as described above is presented in Figure 6. After nine months at 37°C more than 90% (93.5%) leuprolide was present, with less than 5% (2.9%) chemical degradation products (shown as "early" in the figure) and less than 5% (2.3%) physical degradation products (shown as "late" and based on the RP-HPLC profile, but in good agreement with SEC) being formed.

Solutions of 40% leuprolide acetate (w/w) in DMSO were prepared, loaded into reservoirs, stored at 37°C, 50°C, 65°C or 80°C and analyzed using RP-HPLC as described above. Results were calculated as described in Physical Pharmacy: Physical Chemical Principles in the Pharmaceutical Sciences, 3rd ed., Martin et al., Chapter 14 (1983) and showed that loss of leuprolide from DMSO formulations was non-linear. The data are shown below and an Arrhenius plot is presented in Figure 3.



- 5            Because Arrhenius plots of DMSO formulations stored at 80°C showed that loss of leuprolide was non-linear, stability data collected at 37°C was used to calculate a  $t_{90}$  for these formulations of 14.4 months at 37°C.

DMSO		
°C	Kobs (months <sup>-1</sup> )	t <sub>1/2</sub> (months)
37	7.29 x 10 <sup>-3</sup>	95.1
50	9.74 x 10 <sup>-3</sup>	71.2
65	2.48 x 10 <sup>-2</sup>	27.9
80	0.108	6.4

E<sub>a</sub> = non-linear

10

#### EXAMPLE 4

##### Stability Studies of Leuprolide Acetate Formulations in DMSO/Water

Formulations of 40% leuprolide acetate (w/w) in DMSO, DMSO/water (95:5, 90:10, 70:30, 50:50, and 30:70) and water were prepared as described above and incubated for seven days at 80°C. Fourier Transfer Infrared Spectroscopy (FTIR) analysis was performed at day 0 and at day 7.

Results showed that the structural conformation of leuprolide changed very little after this accelerated aging for all the formulations tested. In general, peptide structure was predominantly random coil or  $\alpha$ -helix in DMSO formulations, while peptide structure was predominantly  $\beta$ -sheet in water formulations.

Modification of the above-described modes of carrying out various embodiments of this invention will be apparent to those of skill in the art following the teachings of this invention as set forth herein. The examples described above are not limiting, but are merely exemplary of this invention, the scope of which is defined by the following claims.

25

5 What is claimed is:

1. A stable non-aqueous formulation of a peptide compound comprising:

- 10 a) at least one peptide compound; and  
b) at least one polar aprotic solvent.

2. The formulation of Claim 1 which comprises at least about 10% (w/w) peptide compound.

15 3. The formulation of Claim 1 which comprises at least about 30% (w/w) peptide compound.

4. The formulation of Claim 1 wherein said peptide compound is an LHRH-related compound.

20 5. The formulation of Claim 4 wherein said peptide compound is selected from the group consisting of leuprolide, LHRH, nafarelin and goserelin.

25 6. The formulation of Claim 1 of which is stable at 80°C for at least 2 months.

7. The formulation of Claim 1 which is stable at 37°C for at least 3 months.

30 8. The formulation of Claim 1 which is stable at 37° C for at least one year.

35 9. The formulation of Claim 1 which is adapted for use in an implantable drug delivery device.

5

10. The formulation of Claim 1 which further comprises a non-aqueous protic solvent.

11. The formulation of Claim 1 wherein said polar aprotic solvent is  
10 selected from the group consisting of DMSO and DMF.

12. The formulation of Claim 1 wherein said polar aprotic solvent provides an anti-gellant effect.

13. The formulation of Claim 1 which consists essentially of about  
15 30% to about 50% (w/w) of the LHRH-related compound leuprolide acetate in DMSO.

14. The formulation of Claim 1 which consists essentially of  
20 leuprolide and DMSO in the proportions of 370 mg leuprolide in 1 ml DMSO.

15. The formulation of Claim 1 which is stable after irradiation.

16. A method for preparing the stable non-aqueous formulation of  
25 Claim 1 comprising dissolving at least one peptide compound in at least one polar aprotic solvent.

17. The method of Claim 16, wherein at least about 10% (w/w) peptide compound is dissolved.

30

18. The method of Claim 16 wherein at least about 30% (w/w) peptide compound is dissolved.

19. The method of Claim 16 wherein said peptide compound is an  
35 LHRH-related compound.

5

20. The method of Claim 19 wherein said peptide compound is selected from the group consisting of leuprolide, LHRH, nafarelin and goserelin.

10

21. The method of Claim 16 further comprising the step of adding a non-aqueous protic solvent.

15

22. The method of Claim 16 wherein about 30% to about 50% (w/w) of the LHRH-related compound leuprolide acetate is dissolved in DMSO.

23. The method of Claim 16 wherein 370 mg leuprolide is dissolved in 1 ml DMSO.

20

24. A method for treating a subject suffering from a condition which may be alleviated by administration of a peptide compound comprising administering to said subject an effective amount of the formulation of Claim 1.

25

25. The method of Claim 24 wherein said administration is parenteral administration.

26. The method of Claim 24 wherein said administration is long-term continuous administration.

30

27. The method of Claim 26 wherein said administration is accomplished by use of an implantable drug delivery device.

28. The method of Claim 24 wherein said condition is prostatic cancer and said peptide compound is leuprolide.

35

5           29.    The method of Claim 28 wherein at least about 80 micrograms  
of leuprolide is administered daily.

          30.    The method of Claim 29 wherein said daily administration  
continues for a period selected from the group consisting of 3 months,  
10   6 months and 12 months.

          31.    The method of Claim 30 wherein said daily administration for  
said period is continuous administration accomplished using an implantable  
drug delivery system.

15

          32.    The method of Claim 24 wherein said condition is prostatic  
cancer and said peptide compound is an LHRH antagonist.

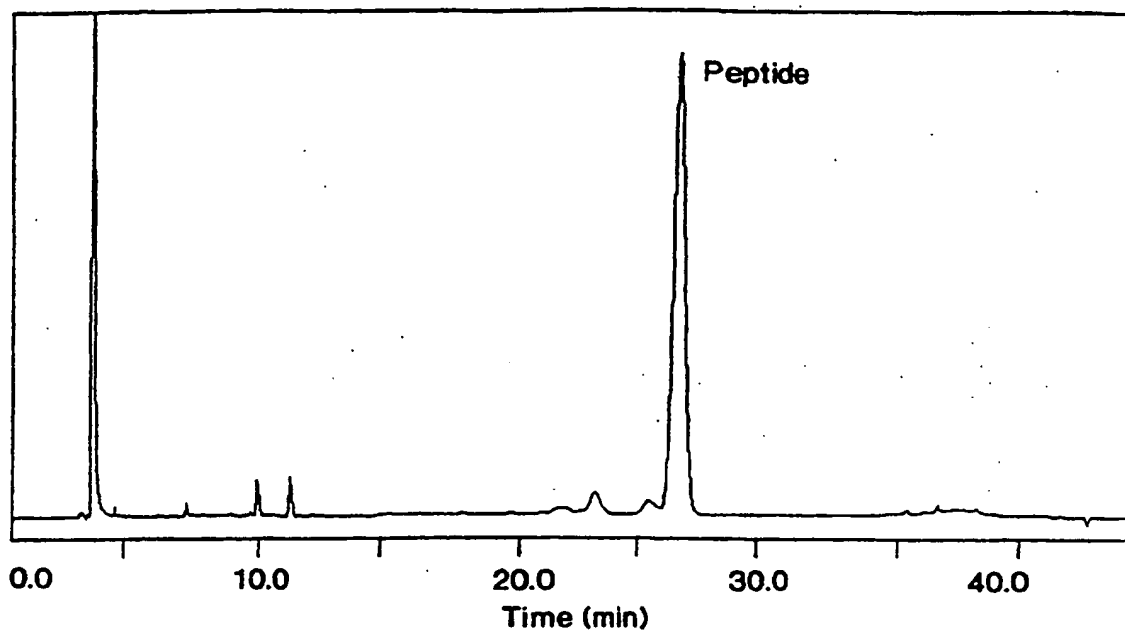


FIG. 1

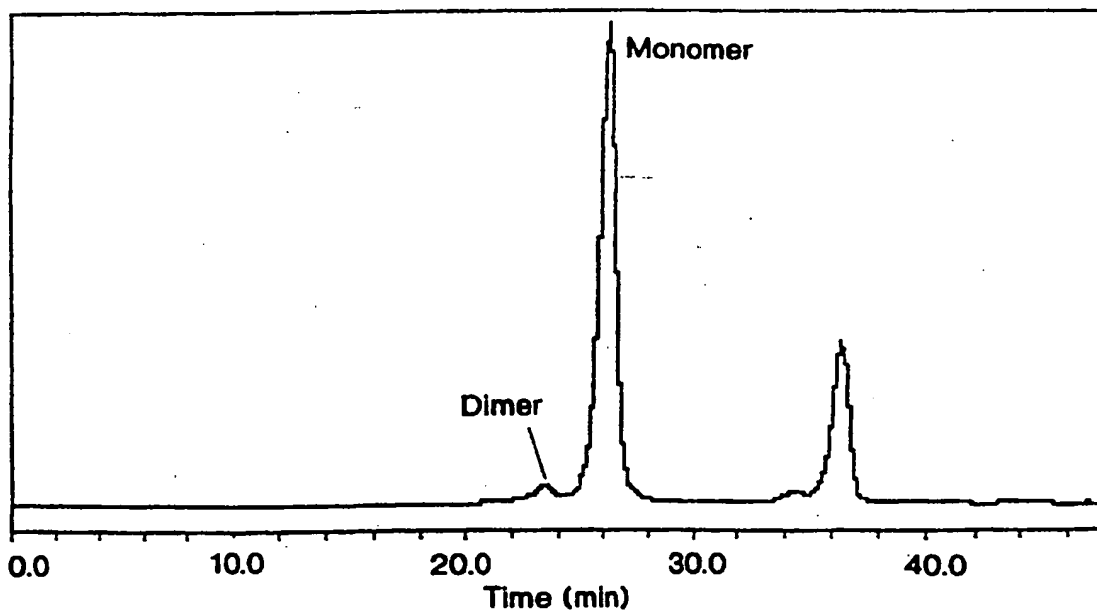


FIG. 2

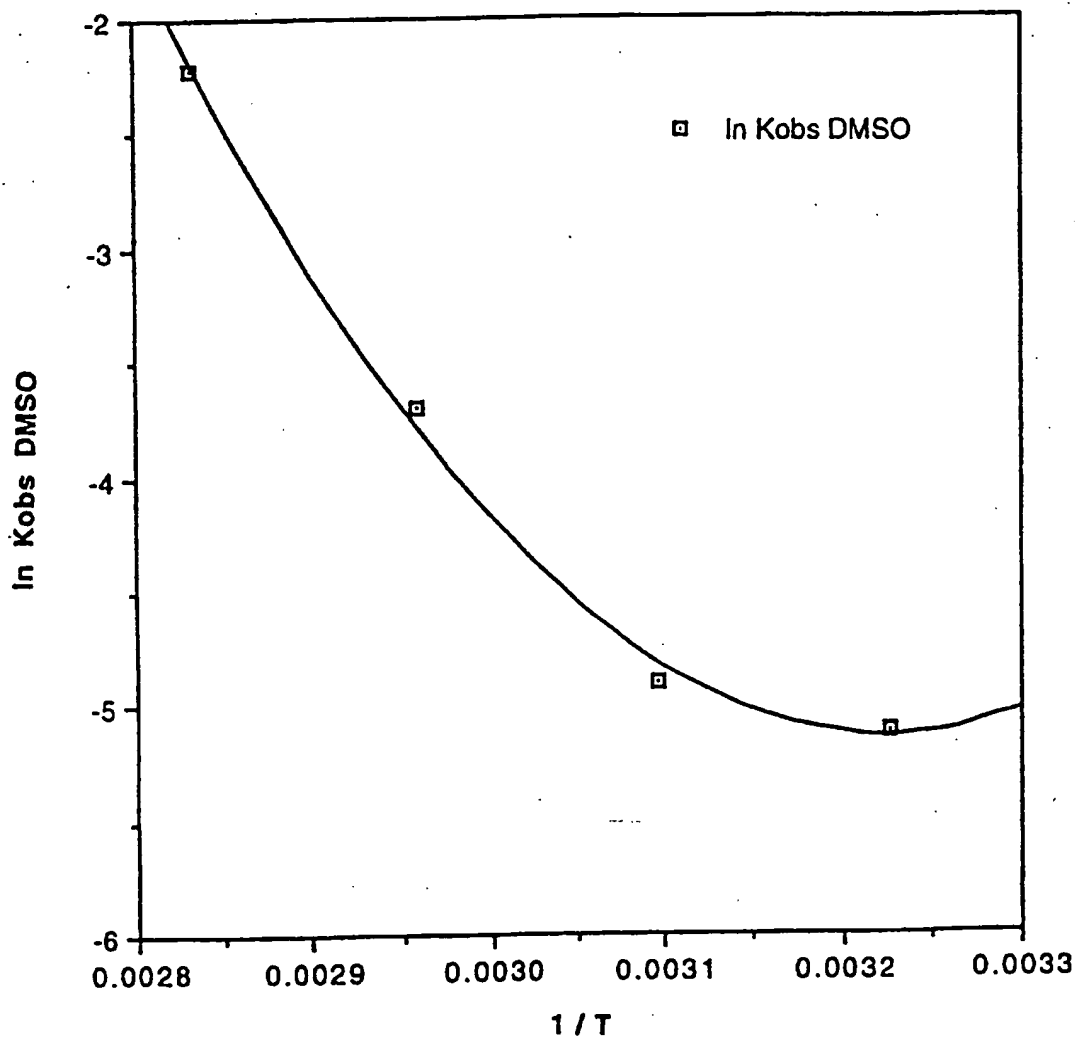


FIG. 3

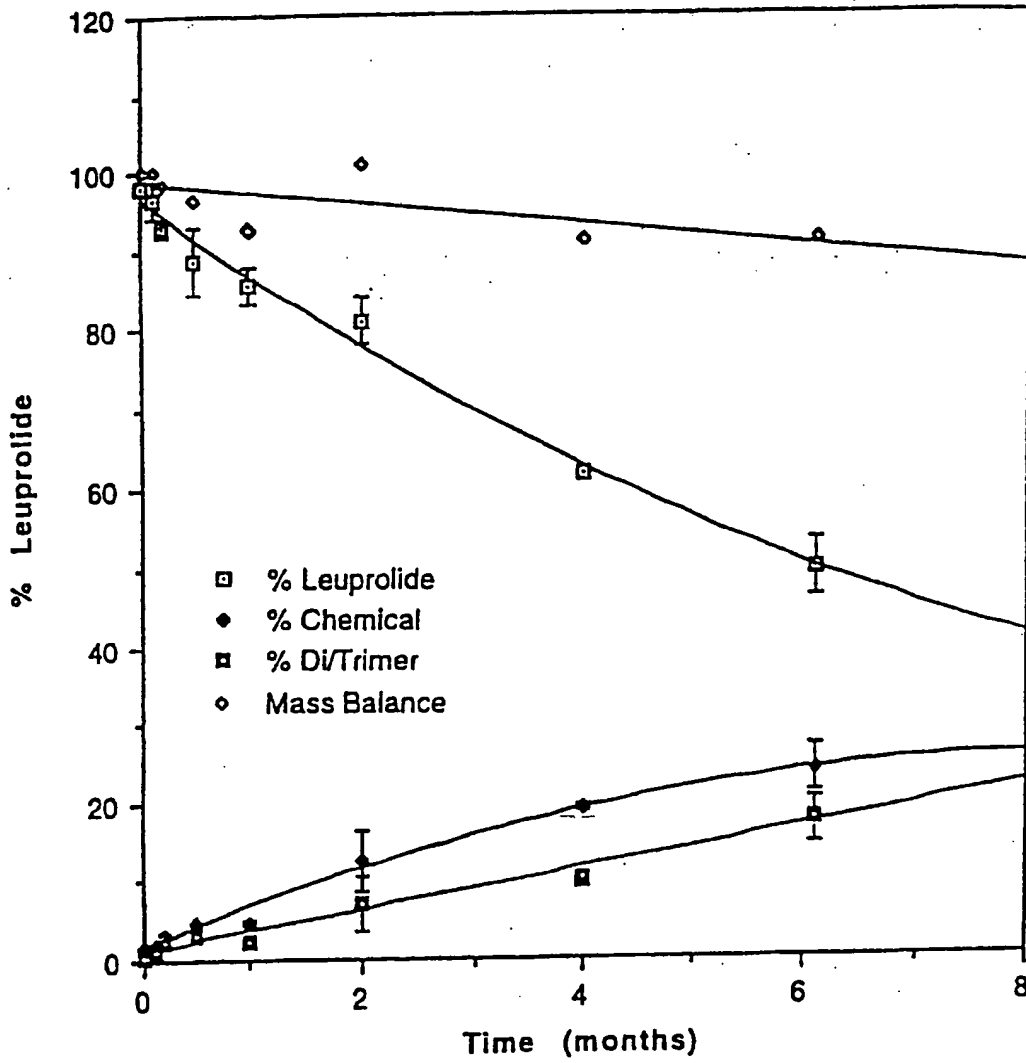


FIG. 4



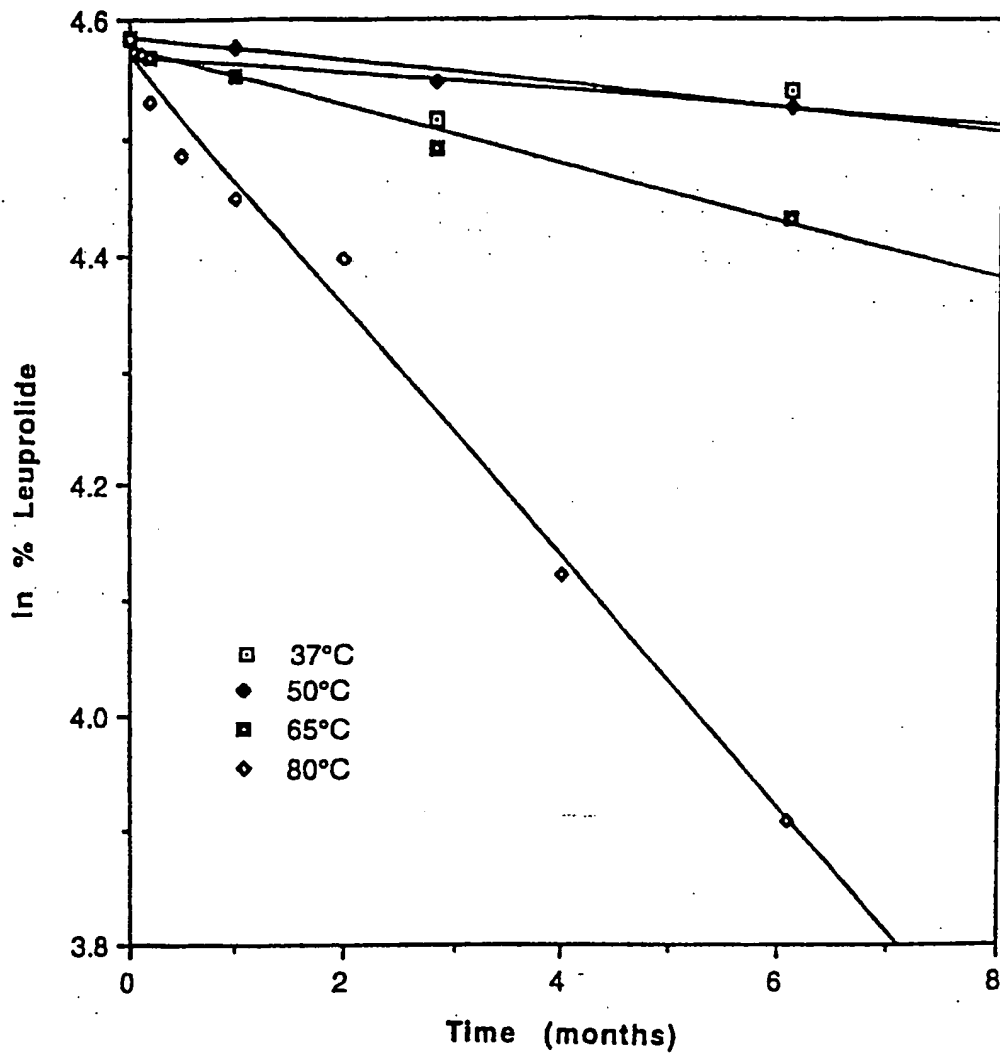


FIG. 5

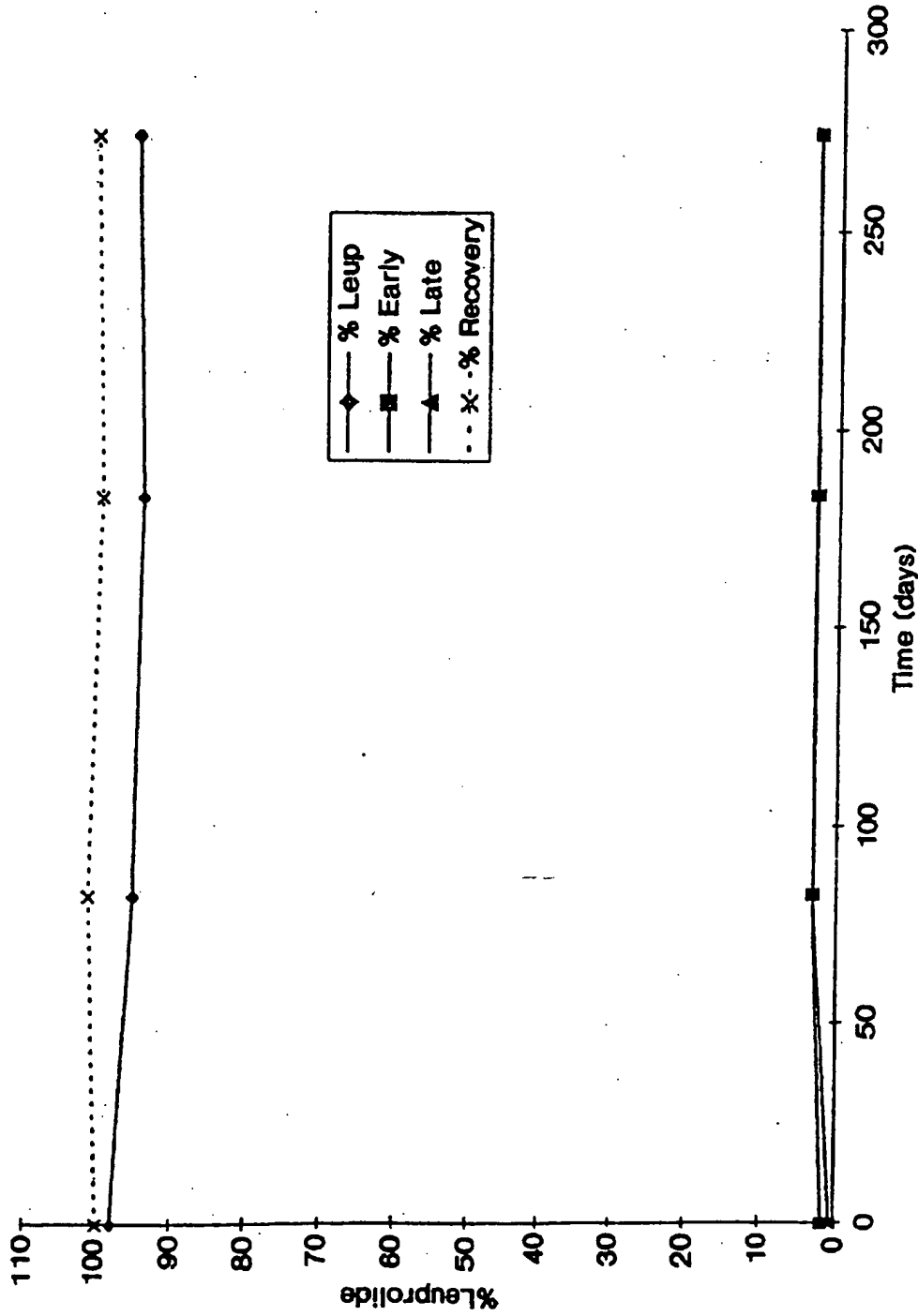


FIG. 6

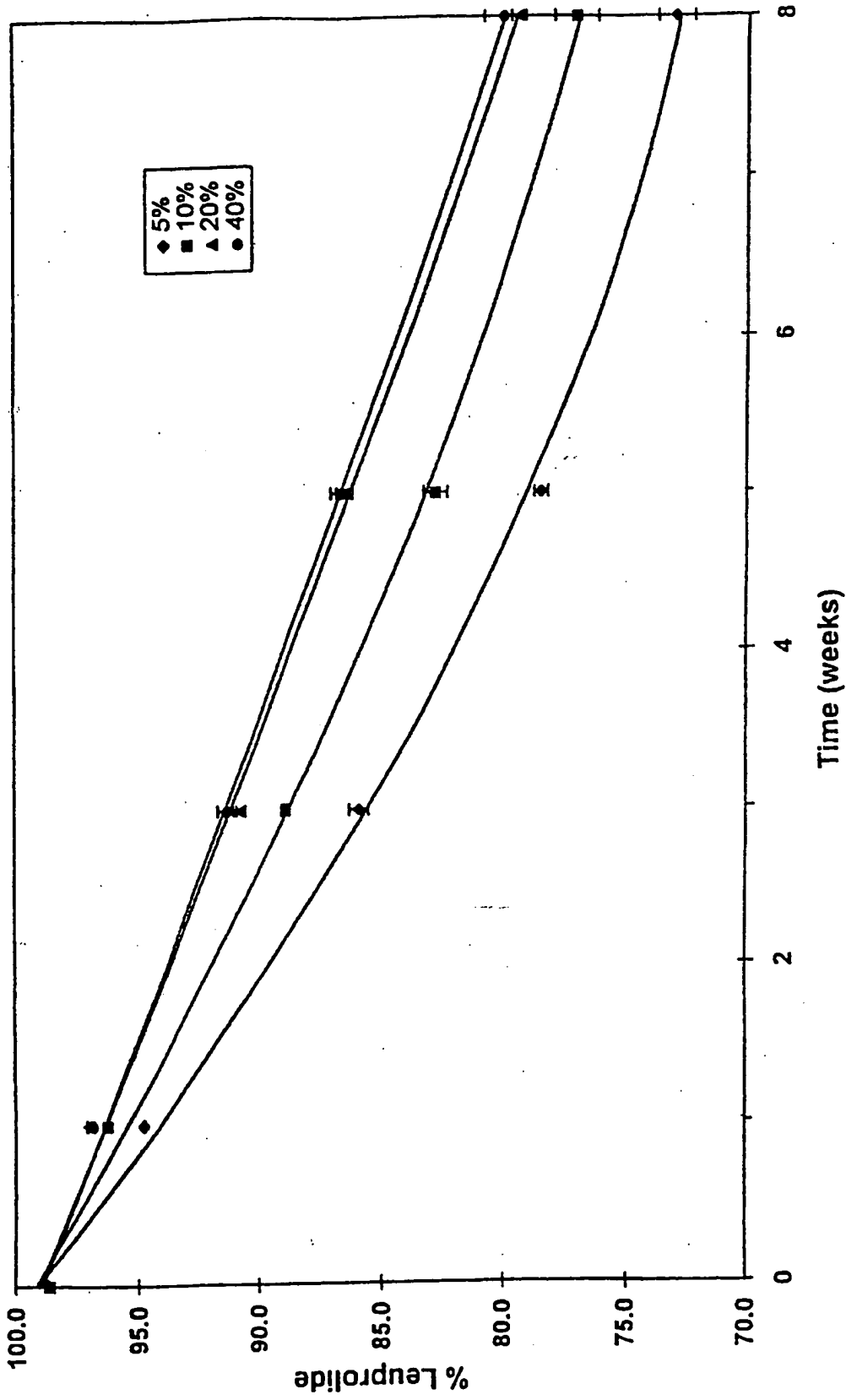


FIG. 7

7/8

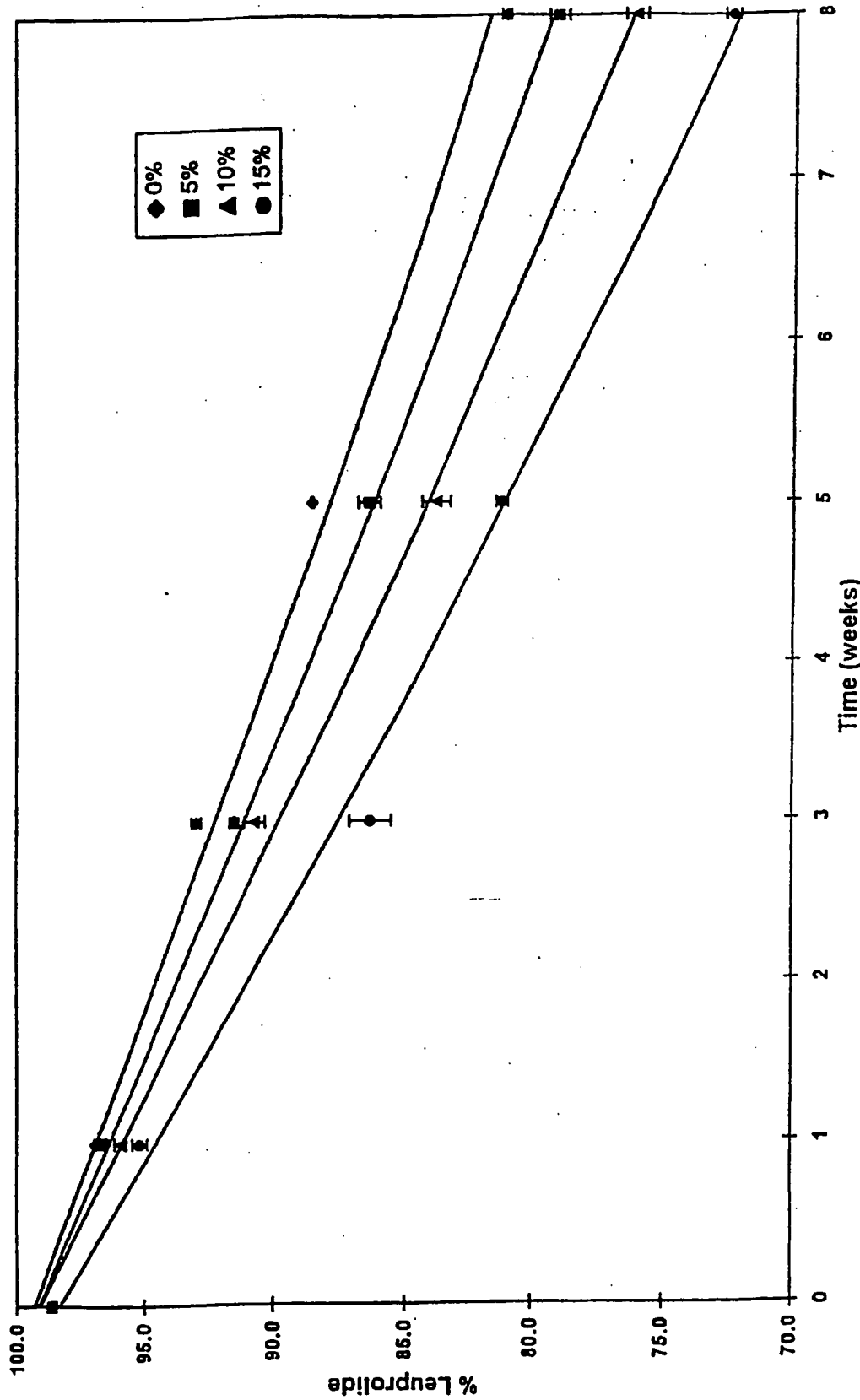
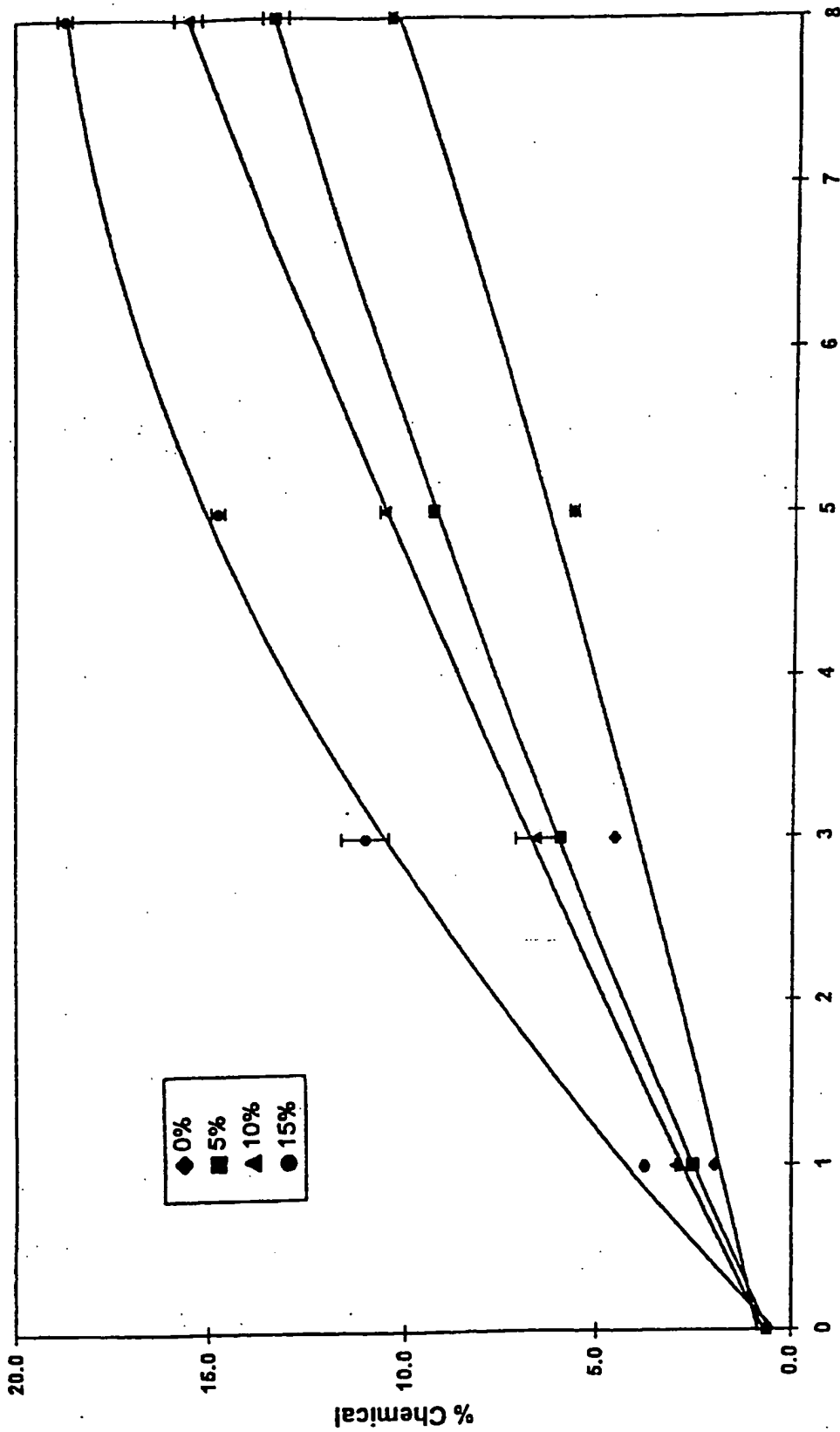


FIG. 8

8 / 8



Time (weeks)  
FIG. 9

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/11450

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 A61K38/04 A61K38/08 A61K38/09 A61K38/24 A61K47/08  
 A61K47/16 A61K47/18 A61K47/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 2 119 248 A (MCMULLEN JOHN KENNETH) 16 November 1983	1-3,6-9, 11,15, 16,24-27
Y	see the whole document	10,21
Y	WO 94 19020 A (GENENTECH INC ;CLELAND JEFFREY L (US); JONES ANDREW J S (US)) 1 September 1994 see the whole document	10,21
A	GB 2 008 403 A (CHRISTIE R B PARSONS J A;RUDMAN C G) 6 June 1979	
A	GB 1 098 151 A (CROWN ZELLERBACH CORP.) 10 January 1968	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

2

Date of the actual completion of the international search	Date of mailing of the international search report
26 November 1997	11. 12. 97

Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  <p style="text-align: center; font-size: 1.2em;">Fischer, W</p>
--	---

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 97/11450

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

Claims Nos.: 24-32

because they relate to subject matter not required to be searched by this Authority, namely:

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy

Remark : Although claims 24-32 are directed to a method of treatment of the human/animal body , the search has been carried out and based on the alleged effects of the compound/composition.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/11450

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2119248 A	16-11-83	NONE	
WO 9419020 A	01-09-94	AU 6241294 A	14-09-94
		CA 2154164 A	01-09-94
		CN 1118143 A	06-03-96
		CZ 9502127 A	14-02-96
		EP 0686045 A	13-12-95
		JP 8507064 T	30-07-96
		NZ 262634 A	24-02-97
		US 5589167 A	31-12-96
		ZA 9401239 A	23-08-95
GB 2008403 A	06-06-79	US 4241051 A	23-12-80
GB 1098151 A		CA 980252 A	23-12-75
		FR 4169 M	
		GB 1106170 A	
		NL 6414293 A,B	10-06-65
		BE 644613 A	01-07-64
		CA 1005761 A	22-02-77
		US 4177267 A	04-12-79

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**